MDOT DBE BUSINESS CHANGE DISCLOSURE

ame of DBE Certified Firm		Contac	Contact Person			Fed. I.D. Number		
Street Address of the DBE Firm (Actual Street - CANNOT BE A P.O. BOX)								
City			County		Ś	State	Zip Code	
Mailing Address (If different than above)								
Business Phone Number	Alternate Phone Nu	one Number Fax Numbe						
E-mail Address	\ \	Vebsite						
Check: Sole Proprietor Corporation Limited Liability Company (LLC) Limited Liability Partnership (LLP) General or Ltd Partnership								
If you are a NON-MICHIGAN based firm, is your certificate current in your home state? YES NO Home state DBE expiration date / / 20 ** If you are a Non-Michigan based firm, you must provide a copy of your current DBE certification from your HOME STATE. **								
Firm's current number of employees: Part tim	e Full	time	Seaso	nal				
List the GROSS RECEIPTS of the DBE for the last FIVE YEARS (5). If the firm has affiliate firms, list the COMBINED gross receipts of the DBE and its affiliates.								
Year 20 \$ Year 20 \$ Year 20 \$								
Year 20 \$		Year 2	0 \$					
GEOGRAPHIC AREA: PLEASE CHECK ONLY REGIONS/AREAS IN WHICH YOUR FIRM IS WILLING TO MOBLIZE EQUIPMENT & PERSONNEL: Bay Grand Metro North Southwest Superior University								
Current Affiliate Firms: List all other firms that any owner of DBE firm holds ownership in or shares resources with (Attach sheet if necessary).								
Affiliate firm name			# of emp	-		e's 5 yr gr	oss receipt avg.	
Affiliate firm name			# of emp		\$ Affiliate	e's 5 yr gr	oss receipt avg.	
					\$			
Affiliate firm name	# of emp	oloyees	Affiliate's 5 yr gross receipt avg.					
					\$			
CURRENT PROFESSIONAL LICENSES INF	ORMATION							
1) Type: Lic. #		2) Type:		l	Lic. #			
3) Type: Lic. #		4) Type:		l	_ic. #			
Have you been denied DBE certification by any other USDOT DBE certifying agency?YesNoIf yes, list the name of the certifying agency, and attach copy of correspondence received. DO NOT BIND OR STAPLE.If yes, list the name of the certifying agency, and attach copy of correspondence received.DO NOT BIND OR STAPLE.								
DBE Agency Name								
Reason								

BUSINESS CHANGE DISCLOSURES

Have you had any changes in your business in the areas below? YES NO

IF YES, COMPLETE THE SECTION BELOW by check-marking all that apply and specifying in detail the changes that have occurred in the space provided. Attach a separate page if needed. If there have been no changes, go on to complete the DOE.

** PLEASE BE ADVISED THAT FAILURE TO DISCLOSE INFORMATION REGARDING CHANGES IN THE COMPANY IS A VIOLATION OF 26.109 AND IS GROUNDS FOR SUSPENSION, DEBARMENT AND/OR REMOVAL OF ELIGIBILITY. **

Business Structure Changes: (e.g. LLC to Corporation or Sole Proprietorship to Corporation etc.)

Ownership Changes: (i.e. decreases or increases in ownership percentages, new ownership, terminated ownership etc.)

Officer Changes: (i.e. new officers, terminated officers, changes in officer positions, etc.)

Board of Directors/Managing Members Changes: (i.e. additions or terminations, etc.)

Location Changes: (For all locations including offices and other facilities such as warehouses or storage facilities.)

Product/Services Changes: (List all new products/services as well as any that have been terminated.) New products/services are to be detailed on the <u>"Work Classification Request Form</u>".

Affiliate Firm Changes: (Affiliate firm additions/deletions, changes in ownership or ownership percentages in affiliate firms, or its officers, managing members, board members, office locations etc.)

Pursuant to 49 CFR 26.83 (i) which states in part:

If you are a DBE, you must inform the recipient or UCP in writing of any change in circumstances affecting your ability to meet size, disadvantaged status, ownership, or control requirements of this part or any material change in the information provided in your application form. (1) Changes in management responsibility among members of a limited liability company are covered by this requirement. (2) You must attach supporting documentation describing in detail the nature of such changes. (3) The DBE must notify the certifier of a material change in its circumstances that affects its continued eligibility within 30 days of its occurrence, explain the change fully, and include a duly executed DOE with the notice. The DBE's non-compliance is a § 26.109(c) failure to cooperate.